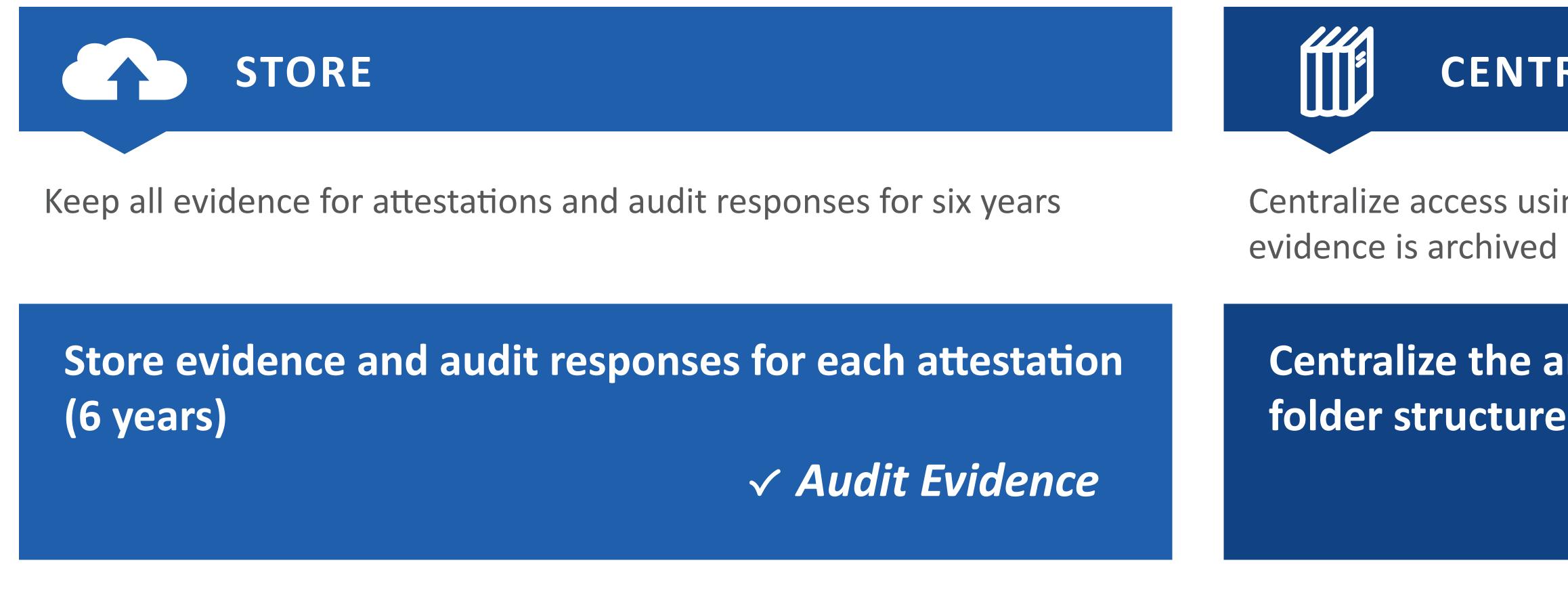
# THE INEVITABLE... **GOVERNMENT AUDITS**

# Avoiding penalties, repayment, and debt collection

### **PREPARATION PROCESS**



### **RESPONSE PROCESS**

RECEIVE	SCHEDULE	
Notify Audit Response Team immediately of audit notification	Conduct first team meeting within 48 hours 1. Review audit request 2. Review preliminary analysis	Confirm p auditor au team mer
Confirm role receiving audit notifications and team for audit response	Review audit request as team ASAP to estimate need for extension and prioritize Response Plan	Deterr common the au submi

### Lessons Learned

Develop organizational understanding of CMS audit guidelines and interpretations

Conduct mock audits to uncover obstacles and improve audit response readiness

The preparation and response processes can help you evaluate and mature your organization's readiness to respond when that audit letter arrives. Right now, the audits are for the EHR Incentive Program (Meaningful Use), but audits are anticipated to increase as additional federal programs are introduced, such as MACRA.

# CENTRALIZE

Centralize access using a secure repository and verify attestation

Centralize the archive repository and standardize file/ folder structure and naming

✓ Accessible

PROTECT

Identify PHI in file/folder names and manage this evidence securely

# COMMUNICATE

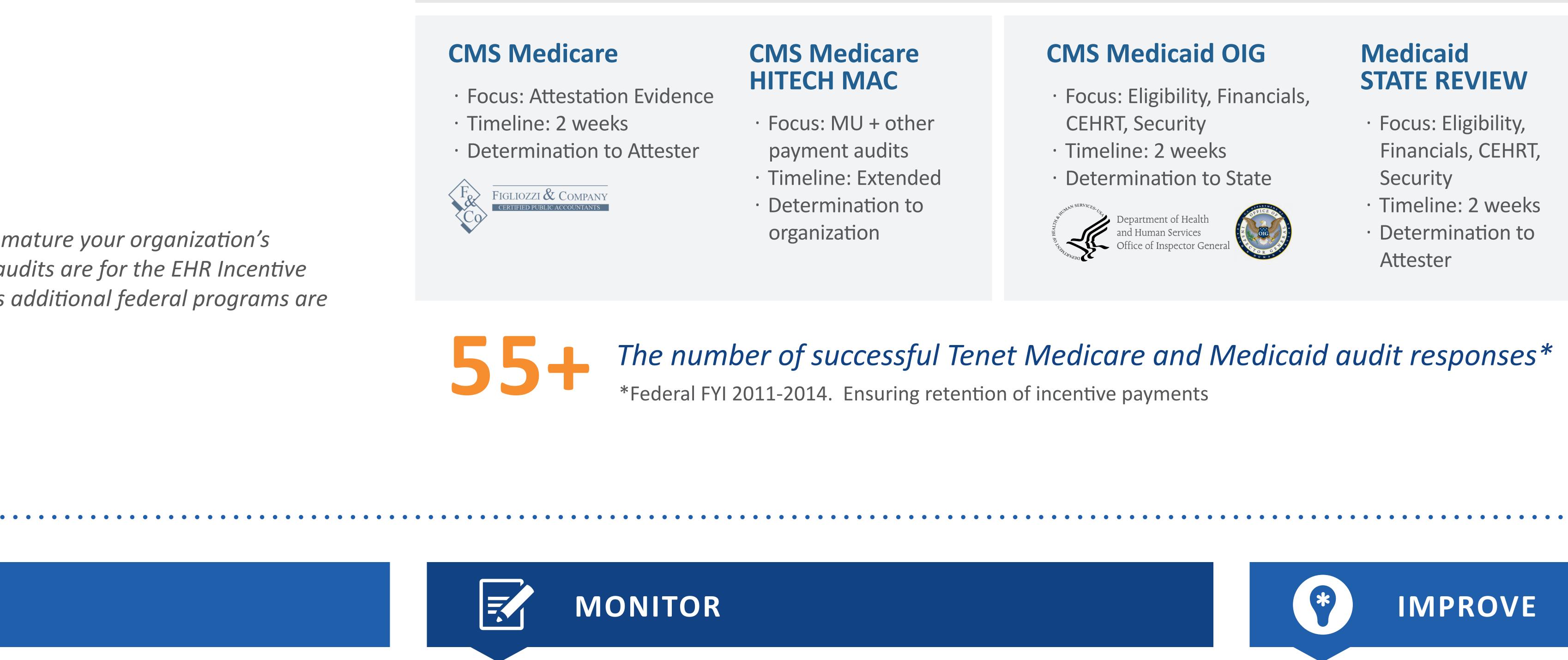
# CONSULT

Develop quality review checklists and templates Use audit lessons learned to strengthen MU evidence collection

### BACKGROUND

Audit types have grown in complexity as the EHR Incentive program has progressed.

### **MU AUDIT TYPES**



Protect documentation with patient health information (PHI)

✓ Lower Risk

Track changes to certified electronic health record technology (CEHRT) and hospital identifiers required for audit response

Track updates to CEHRT technology, hospital identifier information

n	<ul> <li>SCHEDULE</li> <li>Conduct first team meeting within 48 hours</li> <li>Review audit request</li> <li>Review preliminary analysis</li> </ul>	Confirm point of contact with the auditor and contact details for audit team members	CONSULT Confirm evidence with clinical measure, CEHRT, security risk analysis, financials and eligibility subject matter experts	<b>SECURE</b> Ensure Audit Response Team has secure working folders and document sharing processes	TRACK Monitor and track audit response process for audit challenges and deadline requirements	Conduct a quality review of the fina submission to confirm addressing auditor's request
ng audit am for	Review audit request as team ASAP to estimate need for extension and prioritize	Determine who communicates with the auditor and audit	Review specific evidence with subject matter experts	Manage team audit documents in a secure centralized location	Manage audit as a project – track status, confirm responsibilities	Sign off final audit submission as a team
imely	✓ Response Plan	submission Clarity	✓ Identify Risk	✓ Protect PHI	✓ Status	✓ Agreement

Be informed about federal and state level MU audit differences

Take the time to be prepared and avoid the fire drill

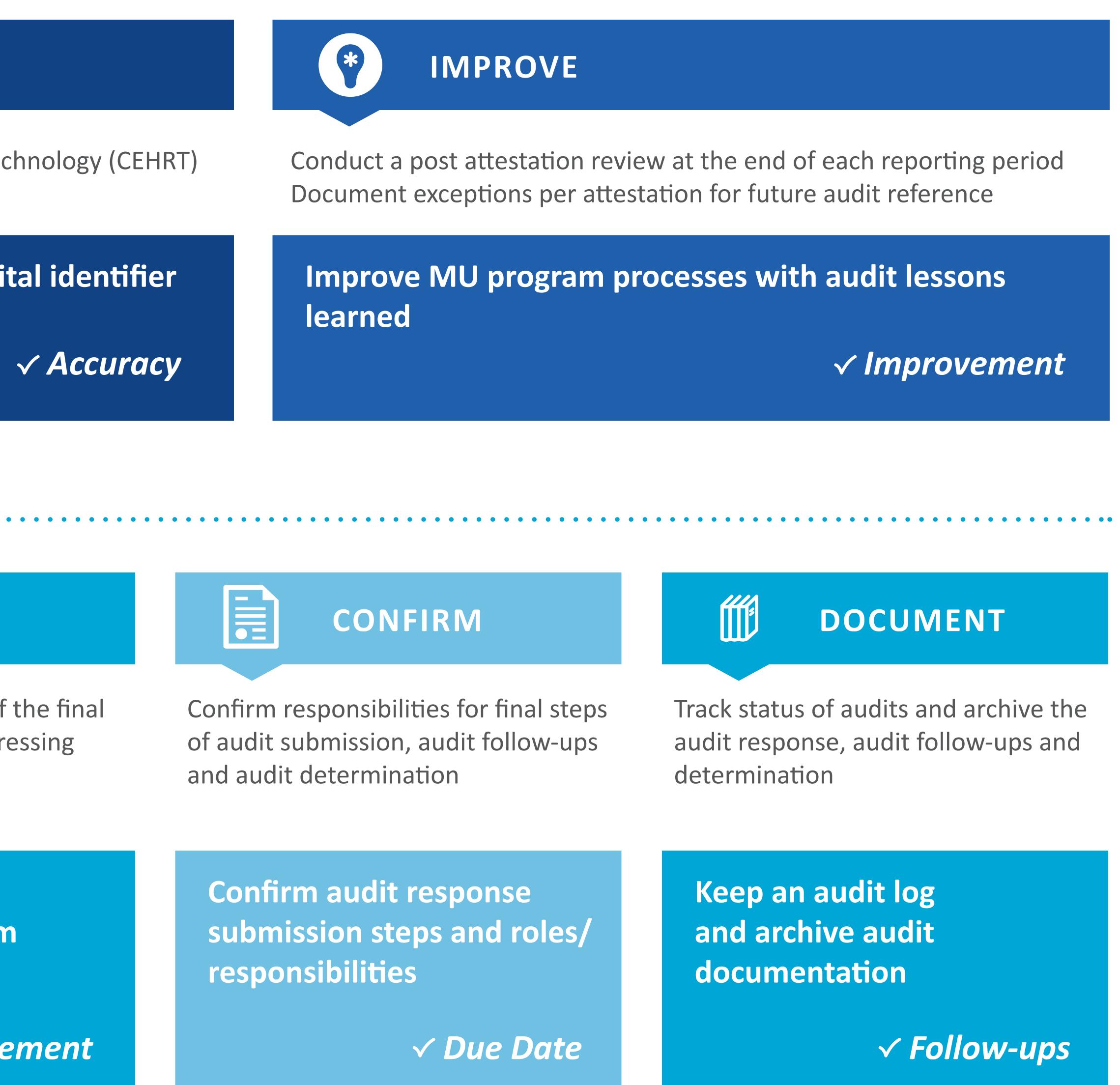
· Focus: Eligibility, Financials,

### Medicaid **STATE REVIEW**

- · Focus: Eligibility, Financials, CEHRT,
- Security Timeline: 2 weeks
- Determination to
- Attester

# HEALTH

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